A GROUPOID FRAMEWORK FOR STUDY ASYMPTOTIC BEHAVIOR OF A DISCRETE SYSTEM

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1. INTRODUCTION

In this article we associate several groupoids to abstract discrete systems that generalize nonautonomous discrete-time processes. Let us recall that the mathematical formalization for a nonautonomous discrete-time process includes a space X and a sequence $(f_n)_n$ of maps $f_n:X\to X$. Then the nonautonomous difference equation

$$\mathbf{x}_{n+1} = \mathbf{f}_{n}(\mathbf{x}_{n})$$

generates a discrete-time process which is defined for all $x \in X$ and $n, n_0 \in \mathbb{N}$ with $n \ge n_0$ by: $\sigma(n_0, n_0, x) := x$,

$$\sigma(n, n_0, x) := f_{n-1} \circ f_{n-2} \circ ... \circ f_{n_0}(x).$$

The function σ : $\mathbb{N} \times \mathbb{N} \times X \rightarrow X$ defined above has the following properties:

- 1.1) $\sigma(n_0, n_0, x) = x \text{ for all } n_0 \in \mathbb{N} \text{ and } x \in X.$
- 1.2) $\sigma(n_2, n_0, x) = \sigma(n_2, n_1, \sigma(n_1, n_0, x))$ for all $n_0 \le n_1 \le n_2$ and $x \in X$

Howeverthere are processes (such as fractional order systems [3]) that do not satisfy semigroup condition 1.2. In this article we consider processes that do not necessarily semigroup condition 1.2. More precisely, we take into consideration the processes generated by the difference equations of the form

$$x_{n+1} = f_{n,n_0}(x_{n,},x_{n-1},...,x_{n_0}), \, n \ge n_0.$$

We introduce different groupoids associated to an uniform space X and a function

$$f: \mathbb{N} \times \mathbb{N} \times X \rightarrow X$$

having the meaning that for all $(n,n_0) \in \mathbb{N} \times \mathbb{N}$ such that $n \ge n_0$

$$f(n,n_0,x)=f_{n\text{-}1}(x_{n\text{-}1,},x_{n\text{-}2,},\dots,x_{n_0}^{}) \text{ with } x_{n_0}^{}=x \ .$$

The use of an abstract notion of system allows to study within a unified framework diverse processes and provides a unique language for several different areas of applications.

2. EQUILIBRIUM AND STABILITY

Definition 2.1. Letf: $\mathbb{N} \times \mathbb{N} \times X \rightarrow X$ be a function. For each $x \in X$ we write $N_e(x) = \{n_0 \in \mathbb{N} : f(n,n_0,x) = x \text{ for all } n \in \mathbb{N} , n \ge n_0\}.$

An element $x \in X$ is said to be an equilibrium point of the system defined by $f: \mathbb{N} \times \mathbb{N} \times X \to X$ if $N_e(x) \neq \emptyset$, i.e. if there is $n_0 \in \mathbb{N}$ such that $f(n,n_0,x) = x$ for all $n \in \mathbb{N}$, $n \geq n_0$.

Remark 2.2.Letf: $\mathbb{N} \times \mathbb{N} \times X \to X$ be a process that satisfies semigroup condition, i.e $f(n_2, n_0, x) = f(n_2, n_1, f(n_1, n_0, x))$ for all $n_0 \le n_1 \le n_2$ and $x \in X$.

If x_e be an equilibrium point of f, then there is $n_0 \in \mathbb{N}$ such that

$$N_e(x)=\{n\in\mathbb{N}:n\geq n_0\}.$$

Indeed, let n_0 =min $N_e(x_e)$ and let $m \in \mathbb{N}$ such that $m \ge n_0$. Then for all $n \ge m$ we have $f(n,n_0,x_e) = f(n,m,f(m,n_0,x_e))$ (semigroup condition).

Since $n_0 \in N_e(x_e)$, it follows that $x_e = f(n, m, x_e)$ for all $n \ge m$, or equivalently, $m \in N_e(x_e)$.

Let us recall that uniform space is a set X equipped with a nonempty family $\mathfrak A$ of subsets of $X \times X$ (called uniform structure on X) satisfying the following conditions:

- 1. $\Delta \subset U$ for all $U \in \mathfrak{A}$, where $\Delta = \{ (x, x) : x \in X \}$.
- 2. If $U \in \mathfrak{A}$ and $U \subset V \subset X \times X$, then $V \in \mathfrak{A}$.
- 3. If $U \in \mathfrak{A}$ and $V \in \mathfrak{A}$, then $U \cap V \in \mathfrak{A}$.
- 4. For each $U \in \mathfrak{A}$ there exists $V \in \mathfrak{A}$ such that $VV \subset U$, where

 $VV = \{(x,z): \text{ there is } y \text{ such that } (x,y) \in V \text{ and } (y,z) \in V\}$

5. If $U \in \mathfrak{A}$, then $U^{-1} = \{ (y, x) : (x, y) \in U \} \in \mathfrak{A}$.

If $\mathfrak A$ is a uniform structure on X, then $\mathfrak A$ induces a topology on X: $A \subset X$ is openset if and only if for every $x \in A$ there exists $U \in \mathfrak A$ such that $\{y: (x,y) \in U\} \subset A$.

Definition 2.3.Let X be a space endowed with a uniform structure $\mathfrak A$. Let us consider a system defined by a function $f\colon \mathbb N\times \mathbb N\times X\to X$ and let x_e bean equilibrium point of the system. We write

 $N_s(x_e) = \{n_0 \in N_e(x_e) : \text{for every } U \in \mathfrak{A} \text{ there is } V_U \in \mathfrak{A} \text{ with the property that } if (x_e, x) \in V_U, \text{ then } (x_e, f(n, n_0, x)) \in U \text{ for all } n \in \mathbb{N} \text{ , } n \geq n_0 \}$

The equilibrium pointxeis said to be stable if $N_e(x) \neq \emptyset$.

Definition 2.4. Let us consider a system defined by a function $f: \mathbb{N} \square \mathbb{N} \square X \to X$ and let us assume that X is endowed with a uniform structure \mathfrak{A} .

- An equilibrium point x_e is said to be attractive if there is $n_0 \in N_e(x_e)$ and there is $U \in \mathfrak{A}$ such that for all $(x_e, x) \in U$ we have $\lim_{x \to a} f(n, n_0, x) = x_e$.
 - An equilibrium point x_e is asymptotically stable if it is stable and attracting.

3. GROUPOIDS ASSOCIATED TO A GENERAL DISCRETE SYSTEM

In this section we use the same notation concerning groupoids as in [1] and [2].

Proposition 3.1. Let $\mathfrak A$ be a uniform structure on a set X and let $f: \mathbb N \times \mathbb N \times X \to X$ be a function. If

$$\begin{split} G_1\big(X,\mathfrak{A},f\big) &= \{(x,n_1\ k,\ y,\ n_2)\in X\times\mathbb{N}\times\mathbb{Z}\times X\times\mathbb{N}: \\ \text{for all } U\,\square\,\,\mathfrak{A} \quad \text{there is } n_U\,\square\,\,\mathbb{N} \text{ such that for all } n\geq n_U \text{ we have } \\ n\geq n_2,\ n+k\geq n_1 \text{and } (f(n+k,n_1,x),f(n,n_2,y))\,\square\,\,U\}, \end{split}$$
 Then

1. $G_1(X,\mathfrak{A},f)$ is a subgroupoid of $X \times \mathbb{N} \times \mathbb{Z} \times X \times \mathbb{N}$ seen as a groupoid under the operations

$$(x, n_1 k, y, n_2)(y, n_2, m, z, n_3) = (x, n_1, k+m, z, n_3)$$
 (product) $(x, n_1, k, y n_2)^{-1} = (y, n_2, -k, x, n_1)$ (inversion).

2. If $G_1(X,\mathfrak{A},f)$ is endowed with the induced topology from $X\times \mathbb{N}\times \mathbb{Z}\times X\times \mathbb{N}$, then $G_1(X,\mathfrak{A},f)$ is a topological groupoid.

Proof. 1. Let $(x, n_1, k, y, n_2) \in G_1(X, \mathfrak{A}, f)$ and let us prove that $(y, n_2, -k, x, n_1) \in G_1(X, \mathfrak{A}, f)$. Let $U \in \mathfrak{A}$. Then $U^{-1} \in \mathfrak{A}$, hence there is $n_U \square \mathbb{N}$ such that for all $n \ge n_U$ we have

$$n \ge n_2$$
, $n+k \ge n_1$ and $(f(n+k,n_1,x),f(n,n_2,y)) \square U^1$.

Thus for all $n \ge n_U + k$ we have

 $n-k \ge n_2$, $n \ge n_1$ and $(f(n,n_1,x),f(n-k,n_2,y)) \square U^1$ (or equivalently, $(f(n-k,n_2,y),f(n,n_1,x)) \square U$). Consequently, $(y,n_2,-k,x,n_1) \in G_1(X,\mathfrak{A},f)$.

Let (x, n_1, k, y, n_2) , $(y, n_2, m, z, n_3) \in G\big(X, \mathfrak{A}, f\big)$ and let us prove that $(x, n_1, k+m, z, n_3) \in G_1\big(X, \mathfrak{A}, f\big)$. Let $U \in \mathfrak{A}$. Then $V \in \mathfrak{A}$ such that $VV \subset U$. Since $(x, n_1, k, y, n_2) \in G_1\big(X, \mathfrak{A}, f\big)$ there is $n_V \square \mathbb{N}$ such that for all $n \geq n_V$, $n \geq n_2$, $n+k \geq n_1$ and $(f(n+k, n_1, x), f(n, n_2, y)) \square V\}$. Since $(y, n_2, m, z, n_3) \in G_1\big(X, \mathfrak{A}, f\big)$, there is $n'_V \square \mathbb{N}$ such that for all $n \geq n'_V$, $n \geq n_3$, $n+m \geq n_2$ and $(f(n+m, n_2, y), f(n, n_3, z)) \square V$. Thus for all $n \geq max\{n_V-m, n'_V\}$ we have

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\begin{array}{c} n+m \geq n_2, \ n+m+k \geq n_1 \ \ and \ (f(n+m+k,n_1,x),f(n+m,n_2,y)) \in V. \\ On \ the \ other \ hand \ n \geq n_3, \ n+m \geq n_2 and \ \ (f(n+m,n_2,y),f(n,n_3,z)) \in V. \ Therefore \\ \qquad \qquad \qquad (f(n+m+k,n_1,x), \ f(n,n_3,z)) \in VV \subset U \ \ for \ all \ n \geq max \ \{n_V-m, \ n'_V\}. \\ Hence(x, \ n_1, \ k+m, \ z, \ n_3) \in G_1\big(X, \mathfrak{A}, f\big). \end{array}
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2. Since $X \times \mathbb{N} \times \mathbb{Z} \times X \times \mathbb{N}$ is a topological groupoid, and $G(X, \mathfrak{A}, f)$ a subgroupoid, it follows that $G_1(X, \mathfrak{A}, f)$ is a topological groupoid,

Proposition 3.2. Let $\mathfrak A$ be a uniform structure on a set X and let $f: \mathbb N \times \mathbb N \times X \to X$ be a function. If

$$G_2(X,\mathfrak{A},f) = \{(x,k,y,n_0) \in X \times \mathbb{Z} \times X \times \mathbb{N} :$$

for all $U \square \mathfrak{A}$ there is $n_U \square \mathbb{N}$ such that for all $n \ge n_U$ we have $n \ge n_0$, $n+k \ge n_0$ and $(f(n+k,n_0,x),f(n,n_0,y)) \square U$,

Then

1. $G_2(X,\mathfrak{A},f)$ is a subgroupoid of $X\times \mathbb{Z}\times X\times \mathbb{N}$ seen as a groupoid under the operations

$$(x, k, y, n_0)(y, m, z, n_0) = (x, k+m, z, n_0)$$
 (product)
 $(x, k, y n_0)^{-1} = (y, -k, x, n_0)$ (inversion).

2. If $G_2(X,\mathfrak{A},f)$ is endowed with the induced topology from $X\times \mathbb{Z}\times X\times \mathbb{N}$, then $G_2(X,\mathfrak{A},f)$ is a topological groupoid.

Proof. The proof is similar to that of Proposition 3.1.

Remark 3.3. The groupoid $G_2(X,\mathfrak{A},f)$ introduced in Proposition 3.2 is a disjoint union of groupoids defined in [2, Proposition 2.1].

Also $G_2(X, \mathfrak{A}, f)$ can be seen as a subgroupoid $G_1(X, \mathfrak{A}, f)$ introduced in Proposition 3.1 by the identification $(x, k, y, n_0) \mapsto (x, n_0, k, y, n_0)$.

Proposition 3.4. Let $\mathfrak A$ be a uniform structure on a set X and let $f: \mathbb N \times \mathbb N \times X \to X$ be a function. If

$$G_3(X,\mathfrak{A},f) = \{(x,n_1 k, y, n_2) \in X \times \mathbb{N} \times \mathbb{Z} \times X \times \mathbb{N} :$$

for all $U \square \mathfrak{A}$ there is $n_U \square \mathbb{N}$ such that for all $n \ge n_U$ we have $n \ge n_2$, $n+k \ge n_1$ and $(f(n+k,m_1,x),f(n,m_2,y)) \square U$ for all $m_1,m_2 \square \mathbb{N} \square$ such that $n+k \ge m_1 \ge n_1$ and $n \ge m_2 \ge n_2$ } Then $G_3(X,\mathfrak{A},f)$ is a subgroupoid of $G_1(X,\mathfrak{A},f)$ introduced in Proposition 3.1.

Proof. The proof is similar to that of Proposition 3.1.

Proposition 3.5. Let $\mathfrak A$ be a uniform structure on a set X and let $f: \mathbb N \times \mathbb N \times X \to X$ be a function. If

$$G_4(X,\mathfrak{A},f) = \{(x,k,y) \in X \times \mathbb{N} \times \mathbb{Z} \times X \times \mathbb{N} :$$

there is $n_0\square \mathbb{N}$ such that for all $U\square \mathfrak{A}$ there is $n_U\square \mathbb{N}$ such that for all $n\ge n_U$ we have $n\ge n_0$, $n+k\ge n_0$ and

 $(f(n+k,m_1,x),f(n,m_2,y)) \square \ U \ for \ all \ m_i, \ m_2 \square \ \mathbb{N} \ \square \ such \ that \ n+k \ge m_1 \ge n_0 \ and \ n \ge m_2 \ge n_0 \}$ Then

1. $G_4(X,\mathfrak{A},f)$ is a subgroupoid of $X \times \mathbb{Z} \times X$ seen as a groupoid under the operations

$$(x, k, y)(y, m, z) = (x, k+m, z)$$
 (product)
 $(x, k, y)^{-1} = (y, -k, x)$ (inversion).

- 1. If G is the groupoid $G_1(X, \mathfrak{A}, f)$ or $G_2(X, \mathfrak{A}, f)$, then (x, n_1) and (x_e, n_0) are equivalent units of Gif and only if $\lim_{n \to \infty} f(n, n_1, x) = x_e$.
- 2. If (x, n_1) and (x_e, n_0) are equivalent units of $G_3(X, \mathfrak{A}, f)$, then $\lim_{n \to \infty} f(n, n_1, x) = x_e$.

Proof. 1. Let $G=G_1\big(X,\mathfrak{A},f\big)$. Then $(x,\,n_1)$ and $(x_e,\,n_0)$ are equivalent units of G if and only if there is $k\in\mathbb{Z}$ such that $(x_e,\,n_0,\,k,x,n_1)\in G$ if and only if for every $U\square\mathfrak{A}$ there is $n_U\square\mathbb{N}$ such that for all $n\geq n_U$, we have $n\geq n_1$, $n+k\geq n_0$ and $(f(n+k,n_0,x_e),f(n,n_1,x))\square U$. Since x_e is an equilibrium point, $f(n+k,\,n_0,\,x_e)=x_e$ for all n and k such that $n+k\geq n_0$. It follows that $(x,\,n_1)$ and $(x_e,\,n_0)$ are equivalent units of G if and only if $(x_e,f(n,n_1,x))\square U$ for all $n\geq n_U$ or equivalently, $\lim_{n\to\infty}f\left(n,n_1,x\right)=x_e$. Similarly, we can prove that if $G=G_2\big(X,\mathfrak{A},f\big)$, then $(x,\,n_1)$ and $(x_e,\,n_0)$ are equivalent units of G if and only if $\lim_{n\to\infty}f\left(n,n_1,x\right)=x_e$.

2. (x, n_1) and (x_e, n_0) are two equivalent units of $G_3\big(X,\mathfrak{A},f\big)$ if and only if there is $k\in\mathbb{Z}$ such that $(x_e, n_0, k, x, n_1)\in G_3\big(X,\mathfrak{A},f\big)$ if and only if for every $U\square\,\mathfrak{A}$ there is $n_U\square\,\mathbb{N}$ such that for all $n\ge n_U$ we have $n\ge n_1$, $n+k\ge n_0$ and $(f(n+k,m_1,x_e),f(n,m_2,x))\square\,U$ for all $m_1,m_2\square\,\mathbb{N}$ such that $n+k\ge m_1\ge n_0$ and $n\ge m_2\ge n_1$. Since x_e is an equilibrium point, $f(n+k,n_0,x_e)=x_e$ for all n and k such that $n+k\ge n_0$. It follows that if (x,n_1) and (x_e,n_0) are two equivalent units of $G_3\big(X,\mathfrak{A},f\big)$, then $(x_e,f(n,n_1,x))\square\,U$ for all $n\ge n_U$ or equivalently, $\lim_{n\to\infty} f\big(n,n_1,x\big)=x_e$.

Corollary 3.8.Let $\mathfrak A$ be a uniform structure on X such that

$$\bigcap_{\mathrm{U}\in\mathfrak{A}}\mathrm{U}\,=\{\,(x,\,x):x\in\!X\,\,\}.$$

and f: $\mathbb{N} \square \mathbb{N} \square X \to X$ be a function. Then each orbit of the groupoids G, where G is the groupoid $G_1(X, \mathfrak{A}, f)$, $G_2(X, \mathfrak{A}, f)$ or $G_3(X, \mathfrak{A}, f)$, contains at most an element (x, n_0) with the property that x an equilibrium point of the system associated with f and f are f and f and f and f are f are f are f and f are f are f are f and f are f are f and f are f are f are f are f are f and f are f and f are f are

Proof. Let be (x,n_1) and (y,n_2) two equivalent units of G. Let us assume that x and y are equilibrium points and that $n_2 \in N_e(y)$. Then $x = \lim_{n \to \infty} f(n,n_2,y) = \lim_{n \to \infty} y = y$ (since in this case the topology on X induced by the uniform structure $\mathfrak A$ is Hausdorff).

Proposition 3.9. Let \mathfrak{A} be a uniform structure on X, let $f: \mathbb{N} \square \mathbb{N} \square X \to X$ be a function, let x_e be an equilibrium point and $n_0 \in N_e(x_e)$. Then x_e is attractive if and only if (x_e, n_0) is in interior of its orbitwith respect to the structure of the groupoid $G_i(X, \mathfrak{A}, f)$, i=1,2.

Proof. Let us assume that (x_e, n_0) belongs to the interior of $[(x_e, n_0)] \subset X \subseteq \mathbb{N}$. Then there is $U \in \mathfrak{A}$ such that $\{(x,n_0): (x_e, x) \in U\} \subset [(x_e,n_0)]$. Let $x \in X$ such that $(x_e, x) \in U$. Then $(x,n_0) \in [(x_e,n_0)]$ and according Lemma 3.7, $\lim_{n \to \infty} f(n,n_0,x) = x_e$. Thus x_e is attractive. Conversely, assume that x_e is attractive.

Then there is $U \in \mathfrak{A}$ such that if $(x_e, x) \in U$, then $\lim_{n \to \infty} f(n, n_0, x) = x_e$. Applying Lemma 3.7, if $\lim_{n \to \infty} f(n, n_0, x) = x_e$, then $(x, n_0) \in [(x_e, n_0)]$. Consequently,

$$\{(x,n_0): (x_e,\,x)\in U\}\subset [(x_e,n_0)].$$

Therefore (x_e, n_0) belongs to the interior of $[(x_e, n_0)] \subset X \square N$.

Corollary 3.10.Let $\mathfrak A$ be a uniform structure on a set X, let $f: \mathbb N \times \mathbb N \times X \to X$ be a function, let x_e be a stable equilibrium point and $n_0 \in N_e(x_e)$. Then x_e is asymptotically stable if and only if (x_e, n_0) is in interior of its orbitwith respect to the structure of the groupoidG, where $G = G_1(X, \mathfrak A, f)$ or $G = G_2(X, \mathfrak A, f)$.

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