

Spectral Sets For Locally Bounded Operators

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Abstract

For a quotient bounded operator T on a locally convex space we define the set $\sigma(Q, T)$. If T is a locally bounded operators on a sequentially complete locally convex space then the equalities

$$r_{lb}(T) = |\sigma(T)| = |\sigma_{lb}(T)| = |\sigma(Q, T)|$$

holds and the spectral set $\sigma(T)$ is compact.

AMS 2000 Subject Classification: 47A25, 46A03

Key Words: spectral set, locally convex space

1 Introduction

Let X be a locally convex Hausdorff space and $L(X)$ ($\mathcal{L}(X)$) denote the algebra of linear operators (continuous operators) from X into itself.

A family \mathcal{P} of seminorms who generate the topology of a locally convex space X (in the sense that the topology of X is the coarsest whit respect to which all seminorms of \mathcal{P} are continuous) will be called *a calibration on X* . A calibration on X will be principal if it is directed. The set of calibrations for X is denoted by $\mathcal{C}(X)$ and the set of principal calibration is denoted by $\mathcal{C}_0(X)$.

Any family of seminorms on a linear space is partially ordered by relation „ \leq ”, where

$$p \leq q \Leftrightarrow p(x) \leq q(x), (\forall) x \in X.$$

A family of seminorms is preordered by the relation „ \prec ”, where

$$p \prec q \Leftrightarrow \text{there exists some } r > 0 \text{ such that } p(x) \leq rq(x), \forall x \in X.$$

If $p \prec q$ and $q \prec p$, we write $p \approx q$.

Definition 1.1 Two families \mathcal{P}_1 and \mathcal{P}_2 of seminorms on a linear space are called Q -equivalent (denoted $\mathcal{P}_1 \approx \mathcal{P}_2$) provided:

1. for each $p_1 \in \mathcal{P}_1$ there exists $p_2 \in \mathcal{P}_2$ such that $p_1 \approx p_2$;
2. For each $p_2 \in \mathcal{P}_2$ there exists $p_1 \in \mathcal{P}_1$ such that $p_2 \approx p_1$.

It is obviously that two Q -equivalent and separating families of seminorms on a linear space generate the same locally convex topology.

If (X, \mathcal{P}) , (Y, \mathcal{Q}) are locally convex spaces, then for all seminorms $p, q \in \mathcal{P}$ the application $m_{pq} : L(X, Y) \rightarrow \mathbf{R} \cup \{\infty\}$, defined by

$$m_{pq}(T) = \sup_{p(x) \neq 0} \frac{q(Tx)}{p(x)},$$

is called the *mixed operator seminorm* of T associated with p and q . When $X = Y$ and $p = q$ we use notation $\hat{p} = m_{pp}$.

Lemma 1.1 ([21]) *If (X, \mathcal{P}) is a locally convex spaces and $T \in L(X)$, then*

1. $m_{pq}(T) = \sup_{p(x)=1} q(Tx) = \sup_{p(x) \leq 1} q(Tx)$, $(\forall) p \in \mathcal{P}, (\forall) q \in \mathcal{Q}$;
2. $q(Tx) \leq m_{pq}(T) p(x)$, $(\forall) x \in X$, whenever $m_{pq}(T) < \infty$.
3. $m_{pq}(T) = \inf \{ M > 0 \mid q(Tx) \leq Mp(x), (\forall) x \in X \}$, whenever $m_{pq}(T) < \infty$.

Definition 1.2 *Let X be a locally convex space. An operator $T \in L(X)$ is:*

1. *quotient bounded operator with respect to a calibration $\mathcal{P} \in \mathcal{C}(X)$ if for every seminorm $p \in \mathcal{P}$ there exists $c_p > 0$ such that*

$$p(Tx) \leq c_p p(x), (\forall) x \in X.$$

2. *universally bounded with respect to the calibration $\mathcal{P} \in \mathcal{C}(X)$ if there exists $c_0 > 0$ such that*

$$p(Tx) \leq c_0 p(x), (\forall) x \in X, (\forall) p \in \mathcal{P}.$$

3. *locally bounded if maps some zero neighborhood in a bounded set.*

Definition 1.3 *If X is a locally convex space, then we denote by:*

1. $Q_{\mathcal{P}}(X)$ the class of quotient bounded operators with respect to some calibration $\mathcal{P} \in \mathcal{C}(X)$;
2. $B_{\mathcal{P}}(X)$ the class of universally bounded operators with respect to some calibration $\mathcal{P} \in \mathcal{C}(X)$,
3. $\mathcal{LB}(X)$ the class of the locally bounded operators on X ;
4. $\mathcal{LB}_0(X)$ the subalgebra of $\mathcal{L}(X)$ generated by $\mathcal{LB}(X)$ and the identity operator I .

Remark 1.1 1. Let X be a locally convex space spaces. If $T \in \mathcal{LB}(X)$, then there exists some calibration $\mathcal{P} \in \mathcal{C}(X)$ such that

$$T \in B_{\mathcal{P}}(X) \subset Q_{\mathcal{P}}(X) \subset \mathcal{L}(X).$$

2. If X is a seminormed space then $Q_{\mathcal{P}}(X) = B_{\mathcal{P}}(X) = \mathcal{LB}(X)$

The class of locally bounded operators is an algebra and it will be usually equipped with the topology of uniform convergence on a zero neighborhood. We say that a sequence (S_n) converge uniformly to zero on a zero neighborhood U if for each zero neighborhood V there exists a positive index $n_0 \in \mathbf{N}$ such that

$$S_n(U) \subset V, (\forall) n \geq n_0.$$

In terms of operator seminorms we have the following definition:

Definition 1.4 Let X be a locally convex space. A sequence $(S_n)_n \subset \mathcal{LB}(X)$ converges uniformly to zero on some zero-neighborhood if for each principal calibration $\mathcal{P} \in \mathcal{C}_0(X)$ there exists some seminorm $p \in \mathcal{P}$ such that for every $q \in \mathcal{P}$ and every $\epsilon > 0$ there exists an index $n_{q,\epsilon} \in \mathbf{N}$, with the property

$$m_{pq}(S_n) < \epsilon, (\forall) n \geq n_{q,\epsilon}.$$

A family $G \subset \mathcal{LB}(X)$ is uniformly bounded on some zero-neighborhood if there exists some seminorm $p \in \mathcal{P}$ such that for every $q \in \mathcal{P}$ there exists $\epsilon_q > 0$ with the property

$$m_{pq}(S) < \epsilon_q, (\forall) S \in G.$$

If X is a locally convex space and $\mathcal{P} \in \mathcal{C}(X)$, then for every $p \in \mathcal{P}$ the application $\hat{p} : Q_{\mathcal{P}}(X) \rightarrow \mathbf{R}$ defined by

$$\hat{p}(T) = \inf\{r > 0 \mid p(Tx) \leq r p(x), (\forall) x \in X\},$$

is a submultiplicative seminorm on $Q_{\mathcal{P}}(X)$, satisfying $\hat{p}(I) = 1$. We denote by $\hat{\mathcal{P}}$ the family $\{\hat{p} \mid p \in \mathcal{P}\}$.

Proposition 1.1 ([10]) *Let X is a locally convex space and $\mathcal{P} \in \mathcal{C}(X)$.*

1. $Q_{\mathcal{P}}(X)$ is a unital subalgebra of the algebra of continuous linear operators on X
2. $Q_{\mathcal{P}}(X)$ is a unitary l.m.c.-algebra with respect to the topology determined by $\hat{\mathcal{P}}$
3. If $\mathcal{P}' \in \mathcal{C}(X)$ such that $\mathcal{P} \approx \mathcal{P}'$, then $Q_{\mathcal{P}'}(X) = Q_{\mathcal{P}}(X)$ and $\hat{\mathcal{P}} \approx \hat{\mathcal{P}}'$
4. The topology generated by $\hat{\mathcal{P}}$ on $Q_{\mathcal{P}}(X)$ is finer than the topology of uniform convergence on bounded subsets of X

Definition 1.5 *If (X, \mathcal{P}) is a locally convex space and $T \in Q_{\mathcal{P}}(X)$ we denote by $r_{\mathcal{P}}(T)$ the radius of boundness of operator T in $Q_{\mathcal{P}}(X)$, i.e.*

$$r_{\mathcal{P}}(T) = \inf\{\alpha > 0 \mid \alpha^{-1}T \text{ generates a bounded semigroup in } Q_{\mathcal{P}}(X)\}.$$

We have said that $r_{\mathcal{P}}(T)$ is the \mathcal{P} -spectral radius of the operator T .

Proposition (1.1) implies that for each $\mathcal{P}' \in \mathcal{C}(X)$, $\mathcal{P} \approx \mathcal{P}'$, we have $Q_{\mathcal{P}'}(X) = Q_{\mathcal{P}}(X)$, so if H is a Q -equivalence class in $\mathcal{C}(X)$, then

$$r_{\mathcal{P}}(T) = r_{\mathcal{P}'}(T), (\forall) \mathcal{P}, \mathcal{P}' \in H.$$

Proposition 1.2 ([20]) *If X is a locally convex space and $\mathcal{P} \in \mathcal{C}(X)$, then for each $T \in Q_{\mathcal{P}}(X)$ we have:*

$$r_{\mathcal{P}}(T) = \inf \left\{ \lambda > 0 \mid \lim_{n \rightarrow \infty} \frac{T^n}{\lambda^n} = 0 \right\}.$$

Proposition 1.3 ([20]) *Let X be a sequentially complete locally convex space and $\mathcal{P} \in \mathcal{C}(X)$. If $T \in Q_{\mathcal{P}}(X)$, then $|\sigma(Q_{\mathcal{P}}, T)| = r_{\mathcal{P}}(T)$.*

Definition 1.6 *Given a linear operator T on a topological vector space X , we consider*

$$r_{lb}(T) = \inf \left\{ \nu > 0 \mid \frac{T^n}{\nu^n} \rightarrow 0 \text{ uniformly on some zero neighborhood} \right\}$$

Lemma 1.2 *If \mathcal{P} a calibration on X , then $B_{\mathcal{P}}(X)$ is a unital normed algebra with respect to the norm $\|\bullet\|_{\mathcal{P}}$ defined by*

$$\|T\|_{\mathcal{P}} = \inf\{M > 0 \mid p(Tx) \leq Mp(x), (\forall) x \in X, (\forall) p \in \mathcal{P}\}.$$

Corollary 1.1 *If $\mathcal{P} \in \mathcal{C}(X)$, then for each $T \in B_{\mathcal{P}}(X)$ we have*

$$\|T\|_{\mathcal{P}} = \sup\{\hat{p}(T) \mid p \in \mathcal{P}\}, (\forall) T \in B_{\mathcal{P}}(X).$$

Proof. Let be $T \in B_{\mathcal{P}}(X)$ and $\alpha = \sup\{\hat{p}(T) \mid p \in \mathcal{P}\}$. From the definition of the norm $\|\bullet\|_{\mathcal{P}}$ and of the operatorial seminorm \hat{p} , $p \in \mathcal{P}$, results that $\|T\|_{\mathcal{P}} \leq \alpha$.

If $\|T\|_{\mathcal{P}} < \alpha$, then there exists some seminorm $p \in \mathcal{P}$ such that

$$\|T\|_{\mathcal{P}} < \hat{p}(T) \leq \alpha.$$

which implies that there exists some element $x_0 \in X$ for which we have

$$p(Tx_0) > \|T\|_{\mathcal{P}} p(x_0).$$

Since, this relation contradicts the definition of the norm $\|T\|_{\mathcal{P}}$, results that $\alpha \leq \|T\|_{\mathcal{P}}$. ■

Proposition 1.4 ([10]) *Let X be a locally convex space and $\mathcal{P} \in \mathcal{C}(X)$. Then:*

1. $B_{\mathcal{P}}(X)$ is a subalgebra of $\mathcal{L}(X)$;
2. $(B_{\mathcal{P}}(X), \|\bullet\|_{\mathcal{P}})$ is unitary normed algebra;
3. for each $\mathcal{P}' \in \mathcal{C}(X)$, with the property $\mathcal{P} \approx \mathcal{P}'$, we have

$$B_{\mathcal{P}}(X) = B_{\mathcal{P}'}(X) \text{ and } \|\bullet\|_{\mathcal{P}} = \|\bullet\|_{\mathcal{P}'}.$$

Proposition 1.5 ([4]) *Let X be a locally convex space and $\mathcal{P} \in \mathcal{C}(X)$. Then:*

1. the topology given by the norm $\|\bullet\|_{\mathcal{P}}$ on the algebra $B_{\mathcal{P}}(X)$ is finer than the topology of uniform convergence;
2. if $(T_n)_n$ is a Cauchy sequences in $(B_{\mathcal{P}}(X), \|\bullet\|_{\mathcal{P}})$ which converges to an operator T , we have $T \in B_{\mathcal{P}}(X)$;
3. the algebra $(B_{\mathcal{P}}(X), \|\bullet\|_{\mathcal{P}})$ is complete if X is sequentially complete.

Proposition 1.6 ([10]) *Let (X, \mathcal{P}) be a locally convex space. An operator $T \in Q_{\mathcal{P}}(X)$ is bounded in the algebra $Q_{\mathcal{P}}(X)$ if and only if there exists some calibration $\mathcal{P}' \in \mathcal{C}(X)$ such that $\mathcal{P} \approx \mathcal{P}'$ and $T \in B_{\mathcal{P}'}(X)$.*

Definition 1.7 Let (X, \mathcal{P}) be a locally convex space.

1. If $T \in Q_{\mathcal{P}}(X)$ ($T \in B_{\mathcal{P}}(X)$) we said that $\alpha \in \mathbf{C}$ is in the resolvent set $\rho(Q_{\mathcal{P}}, T)$ ($\rho(B_{\mathcal{P}}, T)$) if there exists $(\alpha I - T)^{-1} \in Q_{\mathcal{P}}(X)$ ($(\alpha I - T)^{-1} \in B_{\mathcal{P}}(X)$). The spectral set $\sigma(Q_{\mathcal{P}}, T)$ ($\sigma(B_{\mathcal{P}}, T)$) will be the complementary set of $\rho(Q_{\mathcal{P}}, T)$ ($\rho(B_{\mathcal{P}}, T)$).
2. Let T be a locally bounded operator on locally convex space X . We say that $\lambda \in \rho_{lb}(T)$ if there exists a scalar α and a locally bounded operator S on X such that $(\lambda I - T)^{-1} = \alpha I + S$.

Remark 1.2 1.

2. The set $\rho_{lb}(T)$ will be the spectrum of T in the algebra $\mathcal{LB}_0(X)$. The spectral set $\sigma_{lb}(T)$ is defined to be the complement of the resolvent set $\rho_{lb}(T)$.
3. It is obvious that if $T \in B_{\mathcal{P}}(X)$, then we have the following inclusions

$$\sigma(T) \subset \sigma(Q_{\mathcal{P}}, T) \subset \sigma(B_{\mathcal{P}}, T).$$

Proposition 1.7 ([4]) *Proposition* If (X, \mathcal{P}) is a locally convex space and $T \in B_{\mathcal{P}}(X)$, then the set $\sigma(B_{\mathcal{P}}, T)$ is compact.

Corollary 1.2 If (X, \mathcal{P}) is a locally convex space and $T \in (Q_{\mathcal{P}}(X))_0$ then there exist $c_T > 0$ such that

$$\hat{p}(T) \leq c_T, (\forall) p \in \mathcal{P}.$$

Given (X, \mathcal{P}) , for each $p \in \mathcal{P}$ denote by N^p the null space and by X_p the quotient space X/N^p . For each $p \in \mathcal{P}$ consider the natural mapping

$$x \rightarrow x_p \equiv x + N^p$$

(from X to X_p). It is obvious that X_p is a normed space, for each $p \in \mathcal{P}$, with norm $\|\bullet\|_p$ defined by

$$\|x_p\|_p = p(x), (\forall) x \in X.$$

Consider the algebra homomorphism $T \rightarrow T^p$ of $Q_{\mathcal{P}}(X)$ into $\mathcal{L}(X_p)$ defined by

$$T^p(x_p) = (Tx)_p, (\forall) x \in X.$$

This operators are well defined because $T(N^p) \subset N^p$. Moreover, for each $p \in \mathcal{P}$, $\mathcal{L}(X_p)$ is a unital normed algebra and we have

$$\begin{aligned} \|T_p\|_p &= \sup \left\{ \|T_p x_p\|_p \mid \|x_p\|_p \leq 1 \text{ for } x_p \in X_p \right\} \\ &= \sup \{p(Tx) \mid p(x) \leq 1 \text{ for } x \in X\} \end{aligned}$$

For $p \in \mathcal{P}$ consider that the normed space $(\tilde{X}_p, \|\bullet\|_p)$ is the completion of $(X_p, \|\bullet\|_p)$. If $T \in Q_{\mathcal{P}}(X)$, then the operator T^p has a unique continuous linear extension \tilde{T}^p on $(\tilde{X}_p, \|\bullet\|_p)$.

Definition 1.8 *If X is a locally convex space and $T \in Q_{\mathcal{P}}(X)$, we denote by $\sigma(Q, T)$ the set*

$$\cap \{ \sigma(Q_{\mathcal{P}}, T) \mid \mathcal{P} \in \mathcal{C}(X) \text{ such that } T \in Q_{\mathcal{P}}(X) \}.$$

Lemma 1.3 *If X is a locally convex space and $T \in Q_{\mathcal{P}}(X)$ then*

$$|\sigma(Q, T)| \leq \inf \{ r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}(X) \text{ such that } T \in Q_{\mathcal{P}}(X) \}.$$

Proof. This is a direct consequence of the proposition (1.3). ■

Definition 1.9 *An operator T is quotient bounded operator on a locally convex space X if there exists some calibration \mathcal{P} on X such that $T \in Q_{\mathcal{P}}(X)$.*

Remark 1.3 *An operator T is quotient bounded on a locally convex space X if and only if there exists some calibration $\mathcal{P} \in \mathcal{C}(X)$ such that $\hat{p}(T)$ is finit for each $p \in \mathcal{P}$.*

Lemma 1.4 *If T is a quotient bounded operator on a locally convex space X , then there exists some principal calibration $\mathcal{P}' \in \mathcal{C}_0(X)$ such that $T \in Q_{\mathcal{P}'}(X)$.*

Proof. Let \mathcal{P} be a calibration on X such that $T \in Q_{\mathcal{P}}(X)$ and denote by \mathcal{P}' the set of all seminorms given by the relations

$$p'(x) = \max_{i=\overline{1, n}} p_i(x), (\forall) x \in X,$$

where $p_i \in \mathcal{P}'$, $i = \overline{1, n}$, and $n \in \mathbf{N}$.

Let $p' \in \mathcal{P}'$ be arbitrary chosen. Since $T \in Q_{\mathcal{P}}(X)$, from previous remark and lemma (1.1) results that

$$p_i(Tx) \leq \hat{p}_i(T)p_i(x), (\forall) x \in X, i = \overline{1, n},$$

If $c_{p'} = \max_{i=\overline{1,n}} \hat{p}_i(T)$, then

$$p_i(Tx) \leq c_{p'} p_i(x) \leq c_{p'} p'(x), (\forall) x \in X, i = \overline{1,n},$$

so

$$p'(Tx) \leq c_{p'} p'(x), (\forall) x \in X,$$

Therefore, $T \in Q_{\mathcal{P}'}(X)$. ■

Lemma 1.5 *If X is a locally convex space and $T \in Q_{\mathcal{P}}(X)$ then*

$$\begin{aligned} & \inf\{r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}_0(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\} = \\ & = \inf\{r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\}. \end{aligned}$$

Proof. Assume that $\mathcal{P} \in \mathcal{C}(X)$ such that $T \in Q_{\mathcal{P}}(X)$.

If $|\lambda| > r_{\mathcal{P}}(T)$, then the family $(\frac{T^n}{\lambda^n})_{n \geq 0}$ is bounded in $Q_{\mathcal{P}}(X)$, i.e. for every $p \in \mathcal{P}$ there exists $\epsilon_p > 0$ such that

$$\hat{p}\left(\frac{T^n}{\lambda^n}\right) \leq \epsilon_p, (\forall) n \geq 0.$$

Let \mathcal{P}' be the principal calibration associated with the calibration \mathcal{P} , i.e. for each $p' \in \mathcal{P}'$ there exists $p_1, \dots, p_n \in \mathcal{P}$ such that $p' = \max\{p_1, \dots, p_n\}$.

If $\epsilon_{p'} = \max\{\epsilon_{p_1}, \dots, \epsilon_{p_n}\}$, then

$$\hat{p}'\left(\frac{T^n}{\lambda^n}\right) \leq \epsilon_{p'}, (\forall) n \geq 0.$$

so $|\lambda| > r_{\mathcal{P}'}(T)$ (proposition (1.2)). Since λ is arbitrary chosen results $r_{\mathcal{P}'}(T) \leq r_{\mathcal{P}}(T)$.

Therefore,

$$\begin{aligned} & \inf\{r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}_0(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\} \leq \\ & \leq \inf\{r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\}. \end{aligned}$$

The reverse inequality is obvious. ■

Lemma 1.6 *If X is a locally convex space and $T \in Q_{\mathcal{P}}(X)$ then*

$$\sigma(Q, T) = \cap\{\sigma(Q_{\mathcal{P}}, T) \mid \mathcal{P} \in \mathcal{C}_0(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\}.$$

Proof. From definition of the set $\sigma(Q, T)$ results the inclusion

$$\sigma(Q, T) \subset \cap \{ \sigma(Q_{\mathcal{P}}, T) \mid \mathcal{P} \in \mathcal{C}_0(X) \text{ such that } T \in Q_{\mathcal{P}}(X) \}.$$

If $\lambda \notin \sigma(Q, T)$, then there exists some calibration $\mathcal{P} \in \mathcal{C}(X)$ such that $\lambda \in \rho(Q_{\mathcal{P}}, T)$, so for every $p \in \mathcal{P}$ we have $\hat{p}(R(\lambda, T)) < \infty$.

Denote by \mathcal{P}' the principal calibration of all seminorms

$$p'(x) = \max_{i=\overline{1, n}} p_i(x), (\forall) x \in X,$$

where $p_i \in \mathcal{P}'$, $i = \overline{1, n}$, and $n \in \mathbb{N}$.

Let $p' \in \mathcal{P}'$ be such seminorm. Since $R(\lambda, T) \in Q_{\mathcal{P}}(X)$, the lemma (1.1) implies that

$$p_i(R(\lambda, T)x) \leq \hat{p}_i(R(\lambda, T))p_i(x), (\forall) x \in X, i = \overline{1, n},$$

If $c_{p'} = \max_{i=\overline{1, n}} \hat{p}_i(R(\lambda, T))$, then

$$p_i(R(\lambda, T)x) \leq c_{p'}p_i(x) \leq c_{p'}p'(x), (\forall) x \in X, i = \overline{1, n},$$

so we have

$$p'(R(\lambda, T)x) \leq c_{p'}p'(x), (\forall) x \in X,$$

Therefore, $R(\lambda, T) \in Q_{\mathcal{P}'}(X)$ and $\lambda \notin \sigma(Q_{\mathcal{P}'}, T)$, which implies that $\cap \{ \sigma(Q_{\mathcal{P}}, T) \mid \mathcal{P} \in \mathcal{C}_0(X) \text{ such that } T \in Q_{\mathcal{P}}(X) \} \subset \sigma(Q, T)$. ■

2 Locally bounded operators

Lemma 2.1 ([12]) *If T_1 and T_2 are locally bounded operators on X , then there exists a calibration \mathcal{P}' on X such that $T_1, T_2 \in B_{\mathcal{P}'}(X)$.*

Proposition 2.1 ([3]) *Let T be a locally bounded operator on a sequentially complete locally convex space X and $\mathcal{P} \in \mathcal{C}(X)$ such that $T \in Q_{\mathcal{P}}(X)$. If $p \in \mathcal{P}$ such that*

$$m_{pq}(T) < \infty, (\forall) q \in \mathcal{P},$$

then $\rho(X_p, T^{\mathcal{P}}) = \rho(\tilde{X}_p, \tilde{T}^{\mathcal{P}})$.

Proposition 2.2 ([21]) *Let X be a sequentially complete locally convex space and $\mathcal{P} \in \mathcal{LB}(X)$. If $|\lambda| > r_{\mathcal{P}}(T)$, then the Neumann series $\sum_{n=0}^{\infty} \frac{T^n}{\lambda^{n+1}}$ converges to $R(\lambda, T)$ on a zero neighborhood. Moreover, $|\sigma_{lb}(T)| \leq r_{lb}(T)$.*

Lemma 2.2 *If X is a locally convex space and $T \in \mathcal{LB}(X)$, then*

$$r_{lb}(T) = \inf \left\{ \nu > 0 \mid \left(\frac{T^n}{\nu^n} \right)_n \text{ is bounded on a zero neighborhood} \right\}.$$

Proof. If $\mathcal{P} \in \mathcal{C}_0(X)$ we consider

$$r'(T) = \inf \left\{ \nu > 0 \mid \left(\frac{T^n}{\nu^n} \right)_n \text{ is uniformly bounded on a zero neighborhood} \right\}.$$

Assume that $\nu > r_{lb}(T)$, i.e. $\left(\frac{T^n}{\nu^n} \right)_n$ converges uniformly to zero on a zero neighborhood. Then there exists $p_1 \in \mathcal{P}$ such that for each $q \in \mathcal{P}$ and every $\epsilon > 0$ there exists $n_{q,\epsilon} \in \mathbf{N}$, with the property

$$m_{p_1 q} \left(\frac{T^n}{\nu^n} \right) < \epsilon, (\forall) n \geq n_{q,\epsilon}.$$

Since the operator T is locally bounded there exists $p_2 \in \mathcal{P}$ such that for every $q \in \mathcal{P}$ we have $m_{p_2 q}(T) < \infty$. But the calibration \mathcal{P} is principal, so there exists $p_0 \in \mathcal{P}$ such that $p_1 \leq p_0$ and $p_2 \leq p_0$.

Let $\epsilon > 0$ be arbitrary fixed. For each $q \in \mathcal{P}$ we have

$$\begin{aligned} m_{p_0 q} \left(\frac{T^n}{\nu^n} \right) &= \sup \left\{ q \left(\frac{T^n}{\nu^n} x \right) \mid p_0(x) \leq 1 \right\} \leq \\ &\leq \sup \left\{ q \left(\frac{T^n}{\nu^n} x \right) \mid p_1(x) \leq 1 \right\} = m_{p_1 q} \left(\frac{T^n}{\nu^n} \right), (\forall) n \geq n_{q,\epsilon} \\ m_{p_0 q} \left(\frac{T}{\nu} \right) &= \sup \left\{ q \left(\frac{T}{\nu} x \right) \mid p_0(x) \leq 1 \right\} \leq \\ &\leq \sup \left\{ q \left(\frac{T}{\nu} x \right) \mid p_2(x) \leq 1 \right\} = m_{p_2 q} \left(\frac{T}{\nu} \right). \end{aligned}$$

Moreover, from lemma (1.1) we have

$$q \left(\frac{T^k}{\nu^k} x \right) \leq m_{p_2 q} \left(\frac{T}{\nu} \right) p_2 \left(\frac{T^{k-1}}{\nu^{k-1}} x \right) \leq \dots \leq m_{p_2 q} \left(\frac{T}{\nu} \right) m_{p_2 p_2} \left(\frac{T}{\nu} \right)^{k-1} p_2(x),$$

for every, $x \in X$ where $k = \overline{1, n_{q,\epsilon}}$, so lemma (1.1) implies that

$$m_{p_2 q} \left(\frac{T^k}{\nu^k} \right) \leq m_{p_2 q} \left(\frac{T}{\nu} \right) m_{p_2 p_2} \left(\frac{T}{\nu} \right)^{k-1}, k = \overline{1, n_{q,\epsilon}}.$$

So, if $n_{q,\epsilon} \geq 1$ and

$$\alpha_q = \max \left\{ \epsilon, m_{p_2q} \left(\frac{T}{\nu} \right), \dots, m_{p_2q} \left(\frac{T}{\nu} \right) m_{p_2p_2} \left(\frac{T}{\nu} \right)^{n_{q,\epsilon}-1} \right\},$$

then

$$m_{p_0q} \left(\frac{T^n}{\nu^n} \right) \leq \alpha_q, (\forall) n \in \mathbf{N},$$

This means that the family $\left(\frac{T^n}{\nu^n} \right)_n$ is uniformly bounded on a zero neighborhood, so $r'(T) \leq \nu$. Since $\nu > r_{lb}(T)$ is arbitrary chosen results that $r'(T) \leq r_{lb}(T)$.

Now we prove the opposite inequality. If $\alpha > r'(T)$, then there exists $\beta \in (r'(T), \alpha)$, such that $\left(\frac{T^n}{\beta^n} \right)_n$ is uniformly bounded on a zero neighborhood, i.e. there exists $p_0 \in \mathcal{P}$ such that for every $q \in \mathcal{P}$ there exists $\beta_q > 0$ with the property

$$m_{p_0q} \left(\frac{T^n}{\beta^n} \right) < \beta_q, (\forall) n \in \mathbf{N}.$$

Therefore,

$$m_{p_0q} \left(\frac{T^n}{\alpha^n} \right) = \left(\frac{\beta}{\alpha} \right)^n m_{p_0q} \left(\frac{T^n}{\beta^n} \right) < \left(\frac{\beta}{\alpha} \right)^n \beta_q, (\forall) n \in \mathbf{N}.$$

Since $\frac{\beta}{\alpha} < 1$ results that for each $q \in \mathcal{P}$ and every $\epsilon > 0$ there exists $n_{q,\epsilon} \in \mathbf{N}$, with the property

$$\left(\frac{\beta}{\alpha} \right)^n \beta_q < \epsilon, (\forall) n \geq n_{q,\epsilon},$$

Therefore

$$m_{p_0q} \left(\frac{T^n}{\alpha^n} \right) < \epsilon, (\forall) n \geq n_{q,\epsilon}$$

and $\left(\frac{T^n}{\alpha^n} \right)_n$ is uniformly bounded on a zero neighborhood and $r_{lb}(T) \leq \alpha$. But $\alpha > r'(T)$ is arbitrary chosen, so $r_{lb}(T) \leq r'(T)$. ■

Proposition 2.3 *If X is a locally convex space and $T \in \mathcal{LB}(X)$, then*

$$\sigma_{lb}(T) = \sigma(Q, T) = \sigma(T).$$

Proof. The inclusion $\sigma(T) \subset \sigma(Q, T)$ is obvious. If $\lambda \notin \sigma_{lb}(T)$, then there exists $\alpha \in \mathbb{C}$ and $S \in \mathcal{LB}(X)$ such that $(\lambda I - T)^{-1} = \alpha I + S$. From lemma (2.1) there exists $\mathcal{P} \in \mathcal{C}(X)$ such that $T, S \in B_{\mathcal{P}}(X)$ and $(\lambda I - T)^{-1} \in B_{\mathcal{P}}(X) \subset Q_{\mathcal{P}}(X)$, Therefore, $\lambda \notin \sigma(Q_{\mathcal{P}}, T)$ and $\sigma(Q, T) \subset \sigma_{lb}(T)$.

Now we prove that $\sigma_{lb}(T) \subset \sigma(T)$. If X is a locally bounded space, then the X is seminormed space, so the remark (1.1) implies that $\sigma_{lb}(T) = \sigma(T)$.

Assume that X is not locally bounded. If $\lambda \notin \sigma(T), \lambda \neq 0$, then $R(\lambda, T)$ is continuous. From equality $R(\lambda, T)(\lambda I - T) = I$ results

$$R(\lambda, T) = \frac{1}{\lambda}R(\lambda, T)T + \frac{1}{\lambda}I$$

which implies that the operator $R(\lambda, T)T$ is locally bounded, so $\lambda \notin \sigma_{lb}(T)$.

If $\lambda = 0 \notin \sigma(T)$, then the operator $R(0, T) = (-T)^{-1}$ is continuous. Since $I = (-T)^{-1}(-T)$, the identity operator is locally bounded, which is not true because X is not a locally bounded space. Therefore, $\lambda = 0$ belongs to each sets ($\sigma(T), \sigma(Q, T)$, respectively $\sigma_{lb}(T)$) in the case when X is not a locally bounded space. Moreover $\sigma_{lb}(T) \subset \sigma(T)$. ■

Proposition 2.4 *If T is a locally bounded operator on a locally convex space X , then*

$$r_{lb}(T) = \inf\{r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\}.$$

Proof. Denote

$$r'(T) = \inf\{r_{\mathcal{P}}(T) \mid \mathcal{P} \in \mathcal{C}(X) \text{ such that } T \in Q_{\mathcal{P}}(X)\}$$

If $\lambda > r_{lb}(T)$ and $\mathcal{P} \in \mathcal{C}_0(X)$, such that $T \in Q_{\mathcal{P}}(X)$, then there exists $\mu \in (r_{lb}(T), \lambda)$ such that the sequences $\left(\frac{T^n}{\mu^n}\right)_{n \geq 1}$ converges to zero on a zero neighborhood, i.e. there exists $p \in \mathcal{P}$ such that for each $q \in \mathcal{P}$ and every $\epsilon > 0$ there exists $n_{q,\epsilon} \in \mathbb{N}$, with the property

$$m_{pq} \left(\frac{T^n}{\mu^n} \right) < \epsilon, \quad (\forall) n \geq n_{q,\epsilon}.$$

If we consider the family $Q = \{q_m \mid m \geq 1, q \in \mathcal{P}\}$, where

$$q_m(x) = \max\{mp(x), q(x)\}, \quad (\forall) x \in X.$$

then $\mathcal{Q} \in \mathcal{C}(X)$ and T is quotient bounded with respect to the calibration \mathcal{Q} . If $q_m \in \mathcal{Q}$, then

$$q_m \left(\frac{T^n}{\mu^n} x \right) = \max \left\{ mp \left(\frac{T^n}{\mu^n} x \right), q \left(\frac{T^n}{\mu^n} x \right) \right\} \leq$$

$$\begin{aligned} &\leq \max \left\{ m\hat{p} \left(\frac{T^n}{\mu^n} \right) p(x), m_{pq} \left(\frac{T^n}{\mu^n} \right) p(x) \right\} \leq \\ &\leq \max \{ m\epsilon p(x), \epsilon p(x) \} = m\epsilon p(x) \leq \epsilon q_m(x), \end{aligned}$$

for every

$$n \geq n_{q_m, \epsilon} = \max \{ n_{p, \epsilon}, n_{q, \epsilon} \}.$$

Therefore

$$\hat{q}_m \left(\frac{T^n}{\mu^n} \right) \leq \epsilon, (\forall) n \geq n_{q_m, \epsilon},$$

and since $\frac{\mu}{\lambda} < 1$, results

$$\hat{q}_m \left(\frac{T^n}{\lambda^n} \right) = \left(\frac{\mu}{\lambda} \right)^n \hat{q}_m \left(\frac{T^n}{\mu^n} \right) < \epsilon, (\forall) n \geq n_{q_m, \epsilon}.$$

Then $r_{\mathcal{Q}}(T) \leq \lambda$ (proposition (1.2)). This means that $r'(T) \leq \lambda$, for every $|\lambda| > r_{lb}(T)$, so $r'(T) \leq r_{lb}(T)$.

Now we will prove the reverse inequality. If $\lambda > r'(T)$, then lemma (1.5) and proposition (1.2) implies that there exists $\mu \in [r'(T), \lambda)$ and $\mathcal{P} \in \mathcal{C}_0(X)$ such that the sequence $\left(\frac{T^n}{\mu^n} \right)_{n \geq 1}$ converges to zero in $Q_{\mathcal{P}}(X)$, i.e. for every $\epsilon > 0$ and each $p \in \mathcal{P}$ there exists $n_{p, \epsilon} \in \mathbf{N}$, with the property

$$\hat{p} \left(\frac{T^n}{\mu^n} \right) < \epsilon, (\forall) n \geq n_{p, \epsilon}.$$

But T is locally bounded, so there exists $q \in \mathcal{P}$ with the property that for each $p \in \mathcal{P}$ there exists $c_p > 0$ such that $m_{qp} \left(\frac{T}{\mu} \right) < c_p$. Therefore, for every $\epsilon > 0$ and each $p \in \mathcal{P}$ there exists $n_{p, \epsilon} \in \mathbf{N}$, such that

$$\begin{aligned} p \left(\frac{T^{n+1}}{\lambda^{n+1}} x \right) &= \left(\frac{\mu}{\lambda} \right)^{n+1} p \left(\frac{T}{\mu} \left(\frac{T^n}{\mu^n} x \right) \right) \leq \left(\frac{\mu}{\lambda} \right)^{n+1} m_{qp} \left(\frac{T}{\mu} \right) q \left(\frac{T^n}{\mu^n} x \right) \leq \\ &< \left(\frac{\mu}{\lambda} \right)^{n+1} c_p \epsilon q(x) < \epsilon q(x), (\forall) x \in X, \end{aligned}$$

for every $n \geq n_{p, \epsilon}$. Lemma (1.1) implies that for every $\epsilon > 0$ and each $p \in \mathcal{P}$ there exists $n_{p, \epsilon} \in \mathbf{N}$, such that

$$m_{qp} \left(\frac{T^n}{\lambda^n} \right) < \epsilon, (\forall) n \geq n_{p, \epsilon},$$

so the sequence $\left(\frac{T^n}{\lambda^n} \right)_{n \geq 1}$ converges to zero on a zero neighborhood and $r_{lb}(T) \leq \lambda$. Moreover, $r_{lb}(T) \leq r'(T)$.

■

Lemma 2.3 *Let T be a locally bounded operator on a locally convex space X and $\mathcal{P} \in C(X)$, such that $T \in Q_{\mathcal{P}}(X)$. If $p \in \mathcal{P}$ such that*

$$m_{pq}(T) < \infty, (\forall) q \in \mathcal{P},$$

and $\lambda \in \rho(T)$ has the property $\hat{p}(R(\lambda, T)) < \infty$, then $\lambda \in \rho(X_p, T^p)$ and

$$R(\lambda, T^p)(x + N_p) = R(\lambda, T)(x) + N^p, (\forall) x \in X.$$

Proof. Denote by I^p the identity operator on the space X_p . From the definition of T^p results that $\lambda \in \rho(X_p, T^p)$ and the $S : X^p \rightarrow X^p$, given by

$$S(x + N_p) = R(\lambda, T)(x) + N_p, (\forall) x \in X,$$

is a continuous linear operator on X_p . Moreover,

$$\begin{aligned} S(\lambda I^p - T^p)(x + N_p) &= S((\lambda I - T)^p(x + N_p)) = S((\lambda I - T)(x) + N^p) = \\ &= R(\lambda, T)(\lambda I - T)(x) + N^p = x + N^p. \\ (\lambda I^p - T^p)S(x + N_p) &= (\lambda I^p - T^p)S(x + N_p) = \\ &= (\lambda I^p - T^p)(R(\lambda, T)(x) + N_p) = \\ &= (\lambda I - T)^p(R(\lambda, T)(x) + N_p) = (\lambda I - T)R(\lambda, T)(x) + N^p = x + N^p, \end{aligned}$$

for every $x \in X$, so $S = R(\lambda, T^p)$.

■

Proposition 2.5 *If X is a sequentially complete locally convex space and $T \in \mathcal{LB}(X)$, then*

$$r_{lb}(T) = |\sigma(T)| = |\sigma_{lb}(T)| = |\sigma(Q, T)|.$$

Proof. Let remind to us that $\sigma_{lb}(T) = \sigma(Q, T) = \sigma(T)$ and

$$|\sigma(T)| = |\sigma_{lb}(T)| = |\sigma(Q, T)| \leq r_{lb}(T).$$

Let $\mathcal{P} \in \mathcal{C}_0(X)$ be arbitrary chosen such that $T \in Q_{\mathcal{P}}(X)$. Since T is locally bounded there exists $p \in \mathcal{P}$ such that

$$m_{pq}(T) < c_q < \infty, (\forall) q \in \mathcal{P}.$$

We prove that $\sigma(X_p, T^p) \subset \sigma_{lb}(T)$ and $r_{lb}(T) \leq r(X_p, \tilde{T}^p)$, where $r(\tilde{X}_p, \tilde{T}^p)$ is the spectral radius of \tilde{T}^p in algebra $\mathcal{L}(\tilde{X}_p)$.

If $p \leq p_1$, then

$$m_{p_1q}(T) \leq m_{pq}(T) < c_q < \infty, (\forall) q \in \mathcal{P}.$$

First we prove that $\sigma(\tilde{X}_p, \tilde{T}^p) \subset \sigma_{lb}(T)$. If $\lambda \in \rho_{lb}(T)$, then $\lambda \in \rho(T)$ (proposition (2.3)).

Assume that $r_{lb}(T) < 1$.

Case I. If $r_{lb}(T) < |\lambda|$, then the series $\sum_{n=0}^{\infty} \frac{T^n}{\lambda^{n+1}}$ converges uniformly on a zero neighborhood to $R(\lambda, T)$ (proposition (2.2)), i.e. there exists some seminorm $q_0 \in \mathcal{P}$ such that for each $q \in \mathcal{P}$ and every $\epsilon > 0$ there exists $n_{q,\epsilon} \in \mathbf{N}$, with the property

$$m_{q_0q} \left(R(\lambda, T) - \sum_{k=0}^n \frac{T^k}{\lambda^{k+1}} \right) < \epsilon, (\forall) n \geq n_{q,\epsilon}.$$

From lemma (2.2) results that $\left(\frac{T^n}{\lambda^n}\right)_n$ is uniformly bounded on a zero neighborhood, i.e. there exists some seminorm $q_1 \in \mathcal{P}$ such that for each $q \in \mathcal{P}$ there exists $\beta_q > 0$ with the property

$$m_{q_1q} \left(\frac{T^n}{\lambda^n} \right) < \beta_q, (\forall) n \in \mathbf{N}.$$

Since \mathcal{P} is a principal calibration there exists $q_2 \in \mathcal{P}$ such that $q_1 \leq q_2$ and $q_0 \leq q_2$. Therefore, for each $q \in \mathcal{P}$ we have

$$\begin{aligned} q(R(\lambda, T)x) &\leq q \left(\left(R(\lambda, T) - \sum_{k=0}^{n_{q\epsilon}} \frac{T^k}{\lambda^{k+1}} \right) x \right) + q \left(\sum_{k=0}^{n_{q\epsilon}} \frac{T^k}{\lambda^{k+1}} x \right) \leq \\ &\leq m_{q_0q} \left(R(\lambda, T) - \sum_{k=0}^{n_{q\epsilon}} \frac{T^k}{\lambda^{k+1}} \right) q_0(x) + \sum_{k=0}^{n_{q\epsilon}} q \left(\frac{T^k}{\lambda^{k+1}} x \right) \leq \\ &\leq m_{q_0q} \left(R(\lambda, T) - \sum_{k=0}^{n_{q\epsilon}} \frac{T^k}{\lambda^{k+1}} \right) q_0(x) + \left(\lambda^{-1} + \sum_{k=1}^{n_{q\epsilon}} m_{q_1q} \left(\frac{T^k}{\lambda^{k+1}} \right) \right) q_1(x) \leq \\ &\leq (\epsilon + \lambda^{-1} n_{q\epsilon} \beta_q + \lambda^{-1}) q_2(x), (\forall) x \in X. \end{aligned}$$

so, for each $q \in \mathcal{P}$ there exists

$$\gamma_q = \epsilon + \lambda^{-1} n_{q,\epsilon} \beta_q + \lambda^{-1} > 0$$

such that

$$q(R(\lambda, T)x) \leq \gamma_q q_2(x), (\forall) x \in X.$$

Let $p_1 \in \mathcal{P}$ such that $q_2 \leq p_1$ and $p \leq p_1$. Then.

$$q(R(\lambda, T)x) \leq \gamma_q p_1(x), (\forall) x \in X,$$

and

$$m_{p_1 q}(R(\lambda, T)) < \infty, (\forall) q \in \mathcal{P}, \quad (1)$$

Moreover, $\hat{p}(R(\lambda, T)) < \infty$. From the remark we made at the beginning of the proof results that

$$m_{p_1 q}(T) \leq m_{p q}(T) < c_q < \infty.$$

Without the lost of generality of the proof we can replace the seminorm p initially used with p_1 . Therefore, the condition of lemma (2.3) are fulfilled, so $\lambda \in \rho(X_p, T^p)$ and $\lambda \in \rho(\tilde{X}_p, \tilde{T}^p)$ (proposition (2.1)).

If $|\lambda| \leq r_{lb}(T)$, then $r_{lb}(T) < 1 < |\lambda^{-1}|$, so

$$\lambda^{-1} \in \rho(X_p, T^p) = \rho(\tilde{X}_p, \tilde{T}^p).$$

Since $\lambda, \lambda^{-1} \in \rho(T)$, from equalities

$$\begin{aligned} & (\lambda^{-1} - \lambda) R(\lambda, T) (\lambda^{-1} I - T) \left[(\lambda^{-1} - \lambda)^{-1} I - (\lambda^{-1} I - T)^{-1} \right] = \\ & = R(\lambda, T) \left[(\lambda^{-1} I - T) - (\lambda^{-1} - \lambda) I \right] = R(\lambda, T) (\lambda I - T) = I \end{aligned}$$

results that

$$\left[(\lambda^{-1} - \lambda)^{-1} I - (\lambda^{-1} I - T)^{-1} \right]^{-1} = (\lambda^{-1} - \lambda) R(\lambda, T) (\lambda^{-1} I - T). \quad (2)$$

Therefore, $(\lambda - \lambda^{-1})^{-1} \in \rho(R(\lambda^{-1}, T))$. From relation 1 results that

$$m_{p q}(R(\lambda^{-1}, T)) < \infty, (\forall) q \in \mathcal{P},$$

so from lemma (2.3) and proposition (2.1) results that the operator

$$(\lambda^{-1} - \lambda)^{-1} \tilde{I}^p - \left(\lambda^{-1} \tilde{I}^p - \tilde{T}^p \right)^{-1}$$

is invertible and continuous on the Banach space \tilde{X}_p . Therefore

$$\left[(\lambda^{-1} - \lambda)^{-1} \tilde{I}^p - \left(\lambda^{-1} \tilde{I}^p - \tilde{T}^p \right)^{-1} \right]^{-1} \in L(\tilde{X}_p).$$

If we put the relation (2) under the form

$$R(\lambda, T) = (\lambda^{-1} - \lambda)^{-1} \left[(\lambda^{-1} - \lambda)^{-1} I - (\lambda^{-1} I - T)^{-1} \right]^{-1} (\lambda^{-1} I - T)^{-1}$$

will results that $\lambda \in \rho(\tilde{X}_p, \tilde{T}^p)$.

Case II. Assume that $1 \leq r_{lb}(T) < c < \infty$ (since T is locally bounded). Then, the operator $T_1 = c^{-1}T$ is locally bounded and has the property requested at the beginning of the proof with respect to the seminorm p and $r_{lb}(T_1) < 1$.

Therefore, we are in the conditions of the case I, so

$$c^{-1} \rho_{lb}(T) = \rho_{lb}(T_1) \subset \rho(\tilde{X}_p, \tilde{T}_1^p) = c^{-1} \rho(\tilde{X}_p, \tilde{T}^p),$$

This will show that

$$\sigma(\tilde{X}_p, \tilde{T}^p) \subset \sigma_{lb}(T).$$

Now we prove that $r_{lb}(T) \leq r(\tilde{X}_p, \tilde{T}^p)$. If $\lambda > r(\tilde{X}_p, \tilde{T}^p)$, then there exists $\mu \in (r(\tilde{X}_p, \tilde{T}^p), \lambda)$ such that the sequence $\left(\frac{(\tilde{T}^p)^n}{\mu^n} \right)_{n \geq 1}$ converges to zero in $\mathcal{L}(\tilde{X}_p)$, i.e. for every $\epsilon > 0$ there exists some index $n_{p,\epsilon} \in \mathbf{N}$ such that

$$\hat{p} \left(\frac{T^n}{\mu^n} \right) = \left\| \frac{(\tilde{T}^p)^n}{\mu^n} \right\|_p < \epsilon, (\forall) n \geq n_{p,\epsilon}.$$

Therefore, for each $q \in \mathcal{P}$, every $\epsilon > 0$ and every $x \in X$ we have

$$\begin{aligned} q \left(\frac{T^{n+1}}{\lambda^{n+1}} x \right) &= \left(\frac{\mu}{\lambda} \right)^{n+1} q \left(\frac{T^{n+1}}{\mu^{n+1}} x \right) \leq \left(\frac{\mu}{\lambda} \right)^{n+1} m_{pq}(T) p \left(\frac{T^n}{\mu^n} x \right) \leq \\ &\leq \lambda^{-1} \left(\frac{\mu}{\lambda} \right)^n m_{pq}(T) p \left(\frac{T^n}{\mu^n} x \right) \leq \lambda^{-1} \left(\frac{\mu}{\lambda} \right)^n m_{pq}(T) \hat{p} \left(\frac{T^n}{\mu^n} \right) p(x) \leq \\ &\leq \lambda^{-1} c_q \left(\frac{\mu}{\lambda} \right)^n \epsilon p(x), \end{aligned}$$

But $\frac{\mu}{\lambda} < 1$, so there exists some index $n_1 \in \mathbf{N}$ such that

$$\lambda^{-1} c_q \left(\frac{\mu}{\lambda} \right)^n < 1, (\forall) n \geq n_1,$$

wich implies that

$$q \left(\frac{T^{n+1}}{\lambda^{n+1}} x \right) < \epsilon p(x)$$

for all natural number n (sufficiently large) and every $x \in X$. This show that for each $q \in \mathcal{P}$ and every $\epsilon > 0$ there exists some index $n_{q,\epsilon} \in \mathbb{N}$ such that

$$m_{pq} \left(\frac{T^n}{\lambda^n} \right) < \epsilon, (\forall) n \geq n_{q,\epsilon},$$

i.e. the sequences $\left(\frac{T^n}{\lambda^n} \right)_n$ converges uniformly to zero on a zero neighborhood, so $\lambda > r_{lb}(T)$. Since $\lambda > r(\tilde{X}_p, \tilde{T}^p)$ is arbitrary chosen results $r_{lb}(T) \leq r(\tilde{X}_p, \tilde{T}^p)$. Therefore, we have

$$|\sigma(\tilde{X}_p, \tilde{T}^p)| \leq |\sigma(Q, T)| = |\sigma_{lb}(T)| \leq r_{lb}(T) \leq r(\tilde{X}_p, \tilde{T}^p),$$

Since \tilde{T}^p is a bounded operator on the Banach space \tilde{X}_p , so

$$|\sigma(\tilde{X}_p, \tilde{T}^p)| = r(\tilde{X}_p, \tilde{T}^p)$$

and

$$r_{lb}(T) = |\sigma(T)| = |\sigma_{lb}(T)| = |\sigma(Q, T)|.$$

■

Remark 2.1 *Maeda [13] prove that if X is a quasi-complete locally convex space and $T \in \mathcal{LB}(X)$, then the Waelbroeck spectrum in $\mathcal{LB}_0(X)$ and classical spectrum of T are equal and $\sigma(T)$ is compact.*

Proposition 2.6 *If X is a sequentially complete locally convex space and $T \in \mathcal{LB}(X)$, then $\sigma(T)$ is compact.*

Proof. Assume that $\mathcal{P} \in \mathcal{C}(X)$, satisfies the condition $(\lambda I - T)^{-1}, T \in B_{\mathcal{P}}(X)$, and $\mu \in \mathbf{C}$.

Let remind to us that $\sigma(T) = \sigma_{lb}(T)$. If $\lambda \in \rho_{lb}(T)$, then from definition of ρ_{lb} result that there exists some scalar $\alpha \in \mathbf{C}$ and some locally bounded operator S such that $(\lambda I - T)^{-1} = \alpha I + S$. The lemma (2.1) implies that there exists some calibration $\mathcal{P} \in \mathcal{C}(X)$ such that $T, S \in B_{\mathcal{P}}(X)$. Moreover, we have $(\lambda I - T)^{-1} \in B_{\mathcal{P}}(X)$. Let $\mu \in \mathbf{C}$ such that $|\mu| < \left\| (\lambda I - T)^{-1} \right\|_{\mathcal{P}}^{-1}$.

We will show that $\lambda + \mu \in \rho(T)$. Since $\left\| \mu (\lambda I - T)^{-1} \right\|_{\mathcal{P}} < 1$, the operatorial series $\sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-(k+1)}$ converges in operatorial norm of the space $B_{\mathcal{P}}(X)$. By proposition (1.5) the algebra $B_{\mathcal{P}}(X)$ is complete, so there exists an operator $R(\mu) \in B_{\mathcal{P}}(X)$ such that

$$\sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-(k+1)} = R(\mu).$$

Using the equalities

$$\begin{aligned}
& ((\lambda + \mu)I - T)R(\mu) = (\lambda I - T)R(\mu) + \mu R(\mu) = \\
& = (\lambda I - T) \left(\sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-(k+1)} \right) + \mu \left(\sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-(k+1)} \right) = \\
& = \sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-k} - \sum_{k=0}^{\infty} (-\mu)^{k+1} (\lambda I - T)^{-(k+1)} = \\
& = IR(\mu) ((\lambda + \mu)I - T) = \mu R(\mu) + R(\mu) (\lambda I - T) = \\
& = \mu \left(\sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-(k+1)} \right) + \left(\sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-(k+1)} \right) + \lambda I - T = \\
& = - \sum_{k=0}^{\infty} (-\mu)^{k+1} (\lambda I - T)^{-(k+1)} + \sum_{k=0}^{\infty} (-\mu)^k (\lambda I - T)^{-k} = I
\end{aligned}$$

we prove that $\lambda + \mu \in \rho(B_{\mathcal{P}}, T) \subset \rho(T)$. Therefore

$$\{\beta \mid |\beta - \lambda| < \left\| (\lambda I - T)^{-1} \right\|_{\mathcal{P}}^{-1}\} \subset \rho(T),$$

Since $\lambda \in \rho_{lb}(T)$ is an arbitrary chosen result that the set $\rho(T)$ is open, so the spectral set $\sigma(T)$ is closed. The spectral set $\sigma(B_{\mathcal{P}}, T)$ is compact, so from the inclusion $\sigma(T) \subset \sigma(B_{\mathcal{P}}, T)$ results that the set $\sigma(T)$ is compact. ■

Remark 2.2 *The function $\mu \rightarrow R(\mu) = ((\lambda + \mu)I - T)^{-1}$ is analytic at the point $\mu = 0$.*

Corollary 2.1 *Let X be a sequentially complete locally convex space and $T \in \mathcal{LB}(X)$. If $\lambda \in \rho(T)$ and $d(\lambda)$ is the distance from λ to the set $\sigma(T)$, then*

$$\left\| (\lambda I - T)^{-1} \right\|_{\mathcal{P}} \geq \frac{1}{d(\lambda)},$$

whenever $\mathcal{P} \in \mathcal{C}(X)$, such that $(\lambda I - T)^{-1}, T \in B_{\mathcal{P}}(X)$.

Proof. Assume that $\mathcal{P} \in \mathcal{C}(X)$, satisfies the condition $(\lambda I - T)^{-1}, T \in B_{\mathcal{P}}(X)$, and $\mu \in \mathbf{C}$ such that $|\mu| < \left\| (\lambda I - T)^{-1} \right\|_{\mathcal{P}}^{-1}$. Then from the proof of previous proposition results that

$$\lambda + \mu \in \rho(B_{\mathcal{P}}, T) \subset \rho(T),$$

$$\text{so } \left\| (\lambda I - T)^{-1} \right\|_{\mathcal{P}}^{-1} \leq d(\lambda).$$

■

Acknowledgement. This work was supported by the MEdC-ANCS grant ET65/2005, contract no 2987/11.10.2005.

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