

THE VARIATIONAL METHOD OF SCHIFFER-GOLUZIN IN AN EXTREMAL PROBLEM OF CLASS S

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ABSTRACT: Let S be the class of analytic functions of the form $f(z)=z+a_2z^2+\dots, f(0)=0, f'(0)=1$ defined on the unit disk $|z|<1$. Petru T. Mocanu [2] raised the question of determination $\max_{f \in S} |f(z)|$ which satisfies the conditions $|f'(z)|=1, |z|=r, f \in S, 0 \leq r < 1, r$ given. For solving the problem we shall use the variational method of Schiffer-Goluzin [1].

KEY WORDS: class of function, analytic

1. INTRODUCTION

Let S be the class of functions

$$f(z)=z+a_2z^2+\dots, \quad f(0)=0, \quad f'(0)=1 \quad \text{which are regular and univalent in the unit disk } |z|<1. \quad \text{In [1] Petru T. Mocanu raised the issue of determination} \quad \max_{f \in S} |f(z)| \quad (1)$$

$$|f'(z)|=1, |z|=r, f \in S, 0 \leq r < 1, r \text{ given.} \quad (2)$$

Since S is a compact class (1) is attained. The aim of this paper is to solve the problem by using the variational method of Schiffer-Goluzin [2].

2. CONTENTS

Let $f \in S$ the extremal function for which (1) is attained in conditions (2) . In order to solve this problem let us consider a variation $f^*(z)$ of the function $f(z)$ given by the Schiffer-Goluzin's formula :

$$f^*(z) = f(z) + \lambda V(z; \zeta; \psi) + O(\lambda^2), \quad |\zeta| < 1, \lambda > 0, \quad \psi \text{ real} \quad (3)$$

where

$$V(z, \zeta; \psi) = e^{i\psi} \frac{f^2(z)}{f(z)-f(\zeta)} - e^{i\psi} f(z) \left[\frac{f(\zeta)}{\zeta f'(\zeta)} \right]^2 - \square - e^{-i\psi} \frac{z f'(z)}{z-\zeta} \left[\frac{f(\zeta)}{\zeta f'(z)} \right]^2 + e^{-i\psi} \frac{z^2 f'(z)}{1-\bar{\zeta}z} \left[\frac{f(\zeta)}{\zeta f'(\zeta)} \right]^2 \quad (4)$$

It is known that if λ is small enough, the function $f^*(z)$ belongs to S class. Let us consider a variation z^* of z :

$$z^* = z + \lambda h + O(\lambda^2), \quad h = \frac{\partial z^*}{\partial \lambda} \Big|_{\lambda=0}$$

which satisfies the conditions :

$$|z^*| = r \text{ and } |f^{*'}(z^*)| = 1 \quad (5)$$

We notice that

$$|z^*|^2 = |z|^2 + 2\lambda \text{Re}(\bar{z}h) + O(\lambda^2) = r^2.$$

Since $|z|=r$ from the relation above we obtain $\text{Re}(\bar{z}h) = 0$. Replacing z with z^* in relation (3) we have

$$f^{*'}(z^*) = f'(z^*) + \lambda V'(z^*; \zeta; \psi) + O(\lambda^2).$$

The condition $|f'(z)|=1$ becomes:

$$2\operatorname{Re}\left\{f'(z)\left[hf''(z)+V'(z,\zeta;\psi)\right]\right\}=0 \quad (6)$$

Since $f(z)$ is extremal $|f'(z^*)|\leq|f'(z)|$ which is equivalent with:

$$\left|f(z)+\lambda hf'(z)+\dots+\lambda V(z,\zeta;\psi)+\dots\right|\leq|f(z)|. \quad (7)$$

By squaring in (7) and using the equality $u\bar{u}=|u|^2$:

$$\left\{f(z)+\lambda\left[hf'(z)+V(z,\zeta;\psi)\right]+\dots\right\}\times\left\{\overline{f(z)+\lambda\left[\bar{h}f'(z)+\overline{V(z,\zeta;\psi)}\right]+\dots}\right\}\leq f(z)\overline{f(z)}. \quad (8)$$

From relation (8) we obtain the condition:

$$2\operatorname{Re}\left\{\overline{f(z)}\left[hf'(z)+V(z,\zeta;\psi)\right]\right\}\leq 0. \quad (9)$$

From condition $\operatorname{Re}(\bar{z}h)=0$ we note that $\bar{h}=-\frac{\bar{z}}{z}h$; having this the relation (6) can also be written as it follows:

$$\overline{f'(z)}\left[hf''(z)+V'(z,\zeta;\psi)\right]+f'(z)\left[-\frac{\bar{z}}{z}hf''(z)+\overline{V'(z,\zeta;\psi)}\right]=0$$

where

$$h=\frac{\overline{zf'(z)V'(z,\zeta;\psi)}+zf'(z)\overline{V'(z,\zeta;\psi)}}{\overline{zf'(z)f''(z)}-zf'(z)\overline{f''(z)}} \quad (10)$$

Next we use the following notations

$$f=f(z), w=f(\zeta), l=f'(z), m=f''(z), V=V(z,\zeta;\psi), V'=V'_z(z,\zeta;\psi).$$

By using the notations above and h from relation (10) relation (9) can be written as it follows:

$$\operatorname{Re}\left[\bar{f}(plV'+V)\right]\leq 0 \quad (11)$$

where

$$p=\frac{zl-\bar{z}\bar{l}}{\bar{z}l\bar{m}-z\bar{l}m}, \quad p \text{ real.}$$

1°. We assume that $\operatorname{Im}(z\bar{l}m)\neq 0$ ($\bar{z}l\bar{m}-z\bar{l}m\neq 0$). From relation (4) we obtain:

$$V=e^{i\psi}\frac{f^2}{f-w}-e^{i\psi}f\left(\frac{w}{\zeta w'}\right)^2-e^{i\psi}\frac{zl}{z-\zeta}\zeta\left(\frac{w}{\zeta w'}\right)^2+e^{-i\psi}\frac{z^2l}{1-\bar{\zeta}z}\bar{\zeta}\left(\frac{w}{\zeta w'}\right)^2$$

and

$$V'=e^{i\psi}\frac{f(f-2w)}{(f-w)^2}-e^{i\psi}l\left(\frac{w}{\zeta w'}\right)^2-e^{i\psi}\frac{z(z-\zeta)m-\zeta l}{(z-\zeta)^2}\zeta\left(\frac{w}{\zeta w'}\right)^2+e^{-i\psi}\frac{z^2(1-\bar{\zeta}z)m+zl(2-\bar{\zeta}z)}{(1-\bar{\zeta}z)^2}\bar{\zeta}\left(\frac{w}{\zeta w'}\right)^2.$$

By replacing the expressions of V and V' in (11) we obtain the relation

$$\operatorname{Re}\left[e^{i\psi}(E-GF)\right]\leq 0 \quad (12)$$

where

$$E=\frac{f\bar{f}\left[(-2pl^2-f)w+pl^2f+f^2\right]}{(f-w)^2}, \quad F=\left(\frac{w}{\zeta w'}\right)^2$$

and

$$G=pl^2\bar{f}+\frac{pl\bar{f}\left[z(z-\zeta)m-\zeta l\right]}{(z-\zeta)^2}\zeta-\frac{p\bar{l}f\left[z^2(1-\bar{\zeta}z)\bar{m}+\bar{z}l(2-\bar{\zeta}z)\right]}{(1-\bar{\zeta}z)^2}\bar{\zeta}+f\bar{f}+\frac{zl\bar{f}}{z-\zeta}\zeta-\frac{\bar{z}l\bar{f}}{1-\bar{\zeta}z}\bar{\zeta}.$$

Since ψ is arbitrary, from relation (12) it results that the extremal function $w=f(\zeta)$ must satisfy the following differential equation:

$$\left(\frac{\zeta w'}{w}\right)^2\frac{f\bar{f}\left[(-2pl^2-f)w+pl^2f+f^2\right]}{(f-w)^2}=\frac{\sum_{s=0}^4 t_s l^s}{(z-\zeta)^2(1-\bar{\zeta}z)^2} \quad (13)$$

where the coefficients $t_s, s \in \{0, 1, 2, 3, 4\}$ have the following expressions

$$\begin{aligned} t_0 &= z^2\bar{f}(pl^2+f); \\ t_1 &= -2f\bar{f}z(1+2r^2)-\bar{l}fz^2-\bar{l}fr^4-2pl^2\bar{f}z(1+r^2)-pl\bar{f}mz^2-p\bar{l}f(\bar{z}^2\bar{m}+2\bar{l}zr^2); \\ t_2 &= f\bar{f}(r^4+4r^2+1)-2zl\bar{f}(2r^2+1)+z\bar{l}fr^2(r^2+2)+pl^2f(r^4+4r^2+1)-pl\bar{f}[2mz(r^2+1)+l]-2r^2(\bar{m}z+2\bar{l}); \\ t_3 &= -\bar{z}(2r^2+1)(2f\bar{f}+\bar{l}zf+2pl^2\bar{f})+\bar{l}fr^2(r^2+2)+pl\bar{f}(mr^4+2mr^2+2l\bar{z}); \\ t_4 &= f\bar{f}z^2-\bar{l}zfr^2+\bar{l}fz^3+pl^2\bar{f}z^2-pl\bar{f}z^2(mz+l)+p\bar{l}fz^2\bar{m}z+l. \end{aligned}$$

The extremal function turns the unit disk into a domain without exterior points. In order to justify this it is sufficient to assume that the

domain transformed by an extremal function $w=f(\zeta)$ has an exterior point w_0 and to consider the function of variation :

$$f^*(z) = f(z) + \lambda e^{i\psi} \frac{f^2(z)}{f(z) - w_0}, \lambda > 0, \psi \text{ real}$$

which belongs to class S .

3. It is known that the extremal function $w=f(\zeta)$ transforms the unit disk $|\zeta| < 1$, onto the entire plane, slit along a finite number of analytic arcs.

Let $q=e^{i\theta}$ be the point on the circle $|\zeta|=1$ which corresponds to the extremity of such slits in which $w'(q)=0$ and $\zeta=q$ double root for the polynomial $\sum_{s=0}^4 t_s \zeta^s$.

Since $\zeta=q$ is a double root for this polynomial, we can write:

$$\sum_{s=0}^4 t_s \zeta^s = (1 - \bar{q}\zeta)^2 (a_0 + a_1\zeta + a_2\zeta^2).$$

From the expressions of the coefficients $t_s, s \in \{0, 1, 2, 3, 4\}$ it results that we can consider $a_0 = t_0, a_1 = -2kq, k$ real and $a_2 = q^2 t_4$.

The differential equation (13) can also be written as it follows:

$$\left(\frac{\zeta w'}{w}\right)^2 \frac{f\bar{f}[(-2pl^2 - f)w + pl^2f + f^2]}{(f-w)^2} = \frac{(1 - \bar{q}\zeta)^2 (t_0 - 2kq\zeta + q^2 t_4 \zeta^2)}{(z - \zeta)^2 (1 - \bar{z}\zeta)^2} \quad (14)$$

4. From the differential equation (14) we obtain :

$$\frac{\sqrt{f\bar{f}[(-2pl^2 - f)w + pl^2f + f^2]}}{w(f-w)} dw = \frac{(1 - \bar{q}\zeta) \sqrt{t_0 - 2kq\zeta + q^2 t_4 \zeta^2}}{\zeta(z - \zeta)(1 - \bar{z}\zeta)} d\zeta$$

where

$$\int_0^w \frac{\sqrt{f\bar{f}[(-2pl^2 - f)w + pl^2f + f^2]}}{w(f-w)} dw = \int_0^\zeta \frac{(1 - \bar{q}\zeta) \sqrt{t_0 - 2kq\zeta + q^2 t_4 \zeta^2}}{\zeta(z - \zeta)(1 - \bar{z}\zeta)} d\zeta \quad (15)$$

For the computation of the integral on the left of the relation (15) we note

$$I_1 = \int_0^w \frac{\sqrt{f\bar{f}[(-2pl^2 - f)w + pl^2f + f^2]}}{w(f-w)} dw.$$

We can write

$$I_1 = \sqrt{f\bar{f}(-2pl^2 - f)} \int \frac{\sqrt{w + \alpha^2}}{w(f-w)} dw \quad \text{where}$$

$$\alpha^2 = \frac{pl^2f + f^2}{-2pl^2 - f}.$$

We notice that $w + \alpha^2 = u^2$; I_1 becomes:

$$I_1 = \sqrt{f\bar{f}(-2pl^2 - f)} \int \frac{-2u^2 du}{(u^2 - \alpha^2)(u^2 - \beta^2)} \quad (dw = 2u du, \beta^2 = \alpha^2 + f)$$

We notice that

$$\frac{-2u^2}{(u^2 - \alpha^2)(u^2 - \beta^2)} = \frac{2\alpha^2}{\beta^2 - \alpha^2} \frac{1}{u^2 - \alpha^2} - \frac{2\beta^2}{\beta^2 - \alpha^2} \frac{1}{u^2 - \beta^2}, \beta^2 - \alpha^2 = f$$

and the integral above becomes:

$$I_1 = \frac{\sqrt{f\bar{f}(-2pl^2 - f)}}{f} \left(\alpha \ln \frac{u - \alpha}{u + \alpha} - \beta \ln \frac{u - \beta}{u + \beta} \right)$$

or

$$I_1 = \frac{\sqrt{f\bar{f}(-2pl^2 - f)}}{f} \ln \left[\left(\frac{u - \alpha}{u + \alpha} \right)^\alpha \left(\frac{u + \beta}{u - \beta} \right)^\beta \right] \quad (16)$$

In order to compute the integral on the right of the relation (15) we note

$$I_2 = \int \frac{(1 - \bar{q}\zeta) \sqrt{t_0 - 2kq\zeta + q^2 t_4 \zeta^2}}{\zeta(z - \zeta)(1 - \bar{z}\zeta)} d\zeta$$

We notice that $t_0 - 2kq\zeta + q^2 t_4 \zeta^2 = q^2 t_4 (\zeta - \zeta_1)(\zeta - \zeta_2)$ where

$$\zeta_{1,2} = \frac{k \pm \sqrt{k^2 - t_0 t_4}}{t_4} \bar{q}.$$

If we note $k - \sqrt{k^2 - t_0 t_4} = \rho$, we notice that $\zeta_1 = \frac{\rho}{t_4} \bar{q}$ and $\zeta_2 = \frac{\eta}{\rho} \bar{q}$. Using the notations above, we can write:

$$\sqrt{t_0 - 2kq\zeta + q^2 t_4 \zeta^2} = \sqrt{q^2 t_4} \sqrt{\left(\zeta - \frac{\rho}{t_4} \bar{q}\right) \left(\zeta - \frac{\eta}{\rho} \bar{q}\right)}.$$

In order to compute integral I_2 we make the following substitution:

$$\sqrt{\left(\zeta - \frac{\rho}{t_4 \bar{q}}\right)\left(\zeta - \frac{t_0}{\rho} \bar{q}\right)} = v\left(\zeta - \frac{\rho}{t_4 \bar{q}}\right). \quad (17)$$

From (17) we obtain:

$$\zeta = \sigma \frac{v^2 - a^2}{v^2 - 1} \quad \text{where} \quad \sigma = \frac{\rho}{t_4 \bar{q}} \quad \text{and} \quad a^2 = \frac{t_0 t_4}{\rho^2} \quad (18)$$

Next,

$$\left\{ \begin{array}{l} d\zeta = \frac{2\sigma(a^2 - 1)}{(v^2 - 1)^2} dv, \\ z - \zeta = (z - \sigma) \frac{v^2 - b^2}{v^2 - 1} \quad \text{with} \quad b^2 = \frac{\sigma a^2 - z}{\sigma - z}, \end{array} \right. \quad (19)$$

and

$$\left\{ \begin{array}{l} 1 - \bar{z}\zeta = (1 - \bar{z}\sigma) \frac{v^2 - c^2}{v^2 - 1} \quad \text{with} \quad c^2 = \frac{1 - \bar{z}\sigma a^2}{1 - \bar{z}\sigma} \\ 1 - \bar{q}\zeta = (1 - \bar{q}\sigma) \frac{v^2 - d^2}{v^2 - 1} \quad \text{with} \quad d^2 = \frac{1 - \bar{q}\sigma a^2}{1 - \bar{q}\sigma}, \\ \sqrt{\left(\zeta - \frac{\rho}{t_4 \bar{q}}\right)\left(\zeta - \frac{t_0}{\rho} \bar{q}\right)} = \frac{\sigma(1 - a^2)v}{v^2 - 1}. \end{array} \right. \quad (20)$$

By using the relations above we obtain:

$$I_2 = \frac{2\sigma q(1 - \bar{q}\sigma)(1 - a^2)^2 \sqrt{t_4}}{(\sigma - z)(1 - \bar{z}\sigma)} \int P(v) dv, \quad (21)$$

where

$$P(v) = \frac{v^2(v^2 - d^2)}{(v^2 - 1)(v^2 - a^2)(v^2 - b^2)(v^2 - c^2)}.$$

We are looking for an expansion as it follows:

$$P(v) = \frac{A_1}{v-1} + \frac{A_2}{v+1} + \frac{A_3}{v-a} + \frac{A_4}{v+a} + \frac{A_5}{v-b} + \frac{A_6}{v+b} + \frac{A_7}{v-c} + \frac{A_8}{v+c} \quad (22)$$

For the coefficients $A_k, k \in \{1, 2, 3, 4, 5, 6, 7, 8\}$ which occur in the relation (22) we find the following values:

$$\left\{ \begin{array}{l} A_1 = -A_2 = \frac{1 - d^2}{2(1 - a^2)(1 - b^2)(1 - c^2)} \stackrel{\text{note}}{=} \tau_1 \\ A_3 = -A_4 = \frac{a(a^2 - d^2)}{2(a^2 - 1)(a^2 - b^2)(a^2 - c^2)} \stackrel{\text{note}}{=} \tau_2 \\ A_5 = -A_6 = \frac{b(b^2 - d^2)}{2(b^2 - 1)(b^2 - a^2)(b^2 - c^2)} \stackrel{\text{note}}{=} \tau_3 \\ A_7 = -A_8 = \frac{c(c^2 - d^2)}{2(c^2 - 1)(c^2 - a^2)(c^2 - b^2)} \stackrel{\text{note}}{=} \tau_4 \end{array} \right. \quad (23)$$

If we note $\mu = \frac{2\sigma q(1 - \bar{q}\sigma)(1 - a^2)^2 \sqrt{t_4}}{(\sigma - z)(1 - \bar{z}\sigma)}$, for I_2 we obtain the expression :

$$I_2 = \mu \left(\tau_1 \ln \frac{v-1}{v+1} + \tau_2 \ln \frac{v-a}{v+a} + \tau_3 \ln \frac{v-b}{v+b} + \tau_4 \ln \frac{v-c}{v+c} \right) \quad (24)$$

From relation (17) we note that

$$v(\zeta) = \sqrt{\frac{\zeta - \frac{t_0}{\rho} \bar{q}}{\zeta - \frac{\rho}{t_4 \bar{q}}}} \quad (25)$$

and from the notation made for the computation of I_2 ,

$$u^2(\zeta) = w(\zeta) + \alpha^2 \quad (26)$$

By using the relations (16) and (24) relation (15) becomes:

$$I_1 \Big|_0^w = I_2 \Big|_0^\zeta$$

For $\zeta=0$ (16),(24) and (15') we obtain the constant which results from the two factors of relations (16) and (24) (corresponding $\frac{u - \alpha}{u + \alpha}$ and $\frac{v - a}{v + a}$):

$$\ln(-1) \frac{\alpha \sqrt{f f(-2p l^2 - f)}}{f} + \mu \tau_2$$

Thus (15') will be written as it follows:

$$\left\{ \begin{array}{l} \frac{\sqrt{f\bar{f}(-2pI^2-f)}}{f} \ln \left[\left(\frac{u(\zeta)-\alpha}{u(\zeta)+\alpha} \right)^\alpha \left(\frac{u(\zeta)+\beta}{u(\zeta)-\beta} \right)^\beta \right] + \frac{\sqrt{f\bar{f}(-2pI^2-f)}}{f} \ln \left(\frac{\alpha+\beta}{\alpha-\beta} \right)^\beta + \\ \square \\ \frac{\alpha \sqrt{f\bar{f}(-2pI^2-f)}}{f} + \ln(-1) = \\ \square \\ = \mu \left[\ln \left(\frac{v(\zeta)-1}{v(\zeta)+1} \right)^{\tau_1} + \ln \left(\frac{v(\zeta)-a}{v(\zeta)+a} \right)^{\tau_2} + \ln \left(\frac{v(\zeta)-b}{v(\zeta)+b} \right)^{\tau_3} + \ln \left(\frac{v(\zeta)-c}{v(\zeta)+c} \right)^{\tau_4} \right] - \\ \square \\ -\mu \ln \left[\left(\frac{a-1}{a+1} \right)^{\tau_1} \left(\frac{a-b}{a+b} \right)^{\tau_2} \left(\frac{a-c}{a+c} \right)^{\tau_4} \right] \end{array} \right.$$

After restrictions and convenient grouping the equation above is written as it follows :

$$\left\{ \begin{array}{l} \left[\left(\frac{u(\zeta)-\alpha}{u(\zeta)+\alpha} \right)^\alpha \left(\frac{u(\zeta)+\beta}{u(\zeta)-\beta} \right)^\beta \right] \frac{\sqrt{f\bar{f}(-2pI^2-f)}}{f} \times \\ \square \\ \frac{\alpha \sqrt{f\bar{f}(-2pI^2-f)}}{f} + \ln(-1) = \\ \square \\ = \left[\left(\frac{v(\zeta)-1}{v(\zeta)+1} \right)^{\tau_1} \left(\frac{v(\zeta)-a}{v(\zeta)+a} \right)^{\tau_2} \times \right. \\ \left. \times \left(\frac{v(\zeta)-b}{v(\zeta)+b} \right)^{\tau_3} \left(\frac{v(\zeta)-c}{v(\zeta)+c} \right)^{\tau_4} \right]^\mu \end{array} \right. \quad (27)$$

Relation (27) implicitly represents the equation verified by the extremal function

$w=w(\zeta)$ which performs $\max_{f \in S} |f(z)|$.

2°. Let us now assume that $Im(\bar{z}I m) = 0$. In this case from the expression of p there must be $zI - \bar{z}I = 0$. From conditions $zI m - \bar{z}I \bar{m} = 0, zI - \bar{z}I = 0, |f'(z)| = 1$ and $|z|=r$ we obtain $m = \bar{m}$ and from the expression of p it results that p is complex, which is a contradiction! Therefore this case cannot happen.

5. Next we will show how θ and k can be determined. In relation (14) we perform $\zeta \rightarrow z$; and we obtain:

$$-pz^2I^2\bar{f}(1-r^2)^2 = (1-\bar{q}z)^2(t_0 - 2kq\zeta + q^2t_4\zeta^2)$$

and by multiplying the resulting equality by $\bar{z}^2\bar{I}^2f$ we obtain ($|z|=r$):

$$-pz^2\bar{z}^2I^2\bar{f}f\bar{f}(1-r^2)^2 = (\bar{z} - \bar{q}r^2)^2(t_0 - 2kq\zeta + q^2t_4\zeta^2)f\bar{I}^2 \quad (28)$$

Since the expression on the left of the equality (28) is real, we obtain a system with two equations from which we obtain θ and k :

$$\left\{ \begin{array}{l} Re \left[(\bar{z} - \bar{q}r^2)^2(t_0 - 2kq\zeta + q^2t_4\zeta^2)f\bar{I}^2 \right] + \\ + pz^2\bar{z}^2I^2\bar{f}f\bar{f}(1-r^2)^2 = 0 \\ \text{and} \\ Im \left[(\bar{z} - \bar{q}r^2)^2(t_0 - 2kq\zeta + q^2t_4\zeta^2)f\bar{I}^2 \right] = 0 \end{array} \right. \quad (29)$$

With θ and k determined in this way the extremal function $w = w(\zeta)$ from equation (27) is well determined; with its help we find $\max_{f \in S} |f(z)|$ in conditions

$$|f'(z)| = 1, |z| = r, f \in S, 0 \leq r < 1, r \text{ given.}$$

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